

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
09712-162001Application No.  
Not Yet Assigned**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant

STEPHEN K. LASECKI, DAVID KINDLER,  
JOHN BERG, MICHAEL CHUNG AND DAVE  
VOLFSONFiling Date  
November 2, 2001

Group Art Unit

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
SW8	AA	5,179,609	01/12/1993	Blonder et al.	385	89	08/30/1991
SW8	AB	5,574,811	11/12/1996	Bricheno et al.	385	52	07/26/1995
SW8	AC	6,118,917	09/12/2000	Lee et al.	385	49	07/30/1998
	AD						
	AE						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AF							
	AG							
	AH							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
SW8	AI ✓	"Single Fiber Collimator" <a href="http://WWW.CASIX.com/Fibert/Fibert008-1.HTML">WWW.CASIX.com/Fibert/Fibert008-1.HTML</a>
SW8	AJ /	S. Kaneko et al. "Novel Fiber Alignment Method Using a Partially Metal-Coated Fiber in a Silicon V-Groove", IEEE Photonics Technology Letters, Vol., 12 No. 6, June 2000
	AK	
	AL	

Examiner Signature

*James M. Long*

Date Considered

*10/5/01*

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement          by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	APR 11 2002 U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09712-162001	Application No. 10/053,225
	Applicant Steve Lasecki et al.		
	Filing Date November 2, 2001	Group Art Unit Unknown	

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
8768	AA	5,857,047	01/05/1999	Strand et al.	385	88	
8768	AB	5,871,559	02/16/1999	Bloom	65	501	
8768	AC	6,003,341	12/21/1999	Bloom	65	484	
	AD						
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**Foreign Patent Documents or Published Foreign Patent Applications**

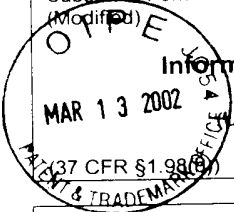
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	AL							
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	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature <i>Samuel N. Long</i>	Date Considered 5 Dec 02
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**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
8268	AA	US2001/0041026A1	11/15/2001	Steinberg et al.	385	20	—
	AB						
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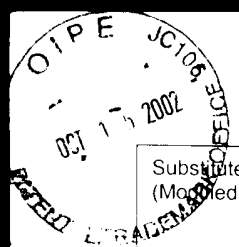
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Examiner Signature <i>Matthew J. Long</i>	Date Considered 5 Dec 02
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		Filing Date November 2, 2001	Group Art Unit 2874

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
8268	AA	5,257,332	10/1993	Pimpinella	385	59	—
8268	AB	6,064,781	05/2000	Seibold et al.	385	14	—
8268	AC	6,136,411	10/2000	Dautartas	428	141	—
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	AJ						
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